

TTR[®] II Circular 006/17

Date: 10.11.2017

WebGUI in TTR[®] II Simulation Updated Specifications

Dear Sir or Madam,

We are pleased to inform you about upgrades made in the TTR[®] II simulation. The simulation is now open for testing the following features:

- TTR[®] II FIX for trades
- WebGUI for trades
- Equity Waivers in FIX & GUI

To be able to participate in the simulation of TTR[®] II we kindly ask you to sign the TTR[®] II agreement, published on our website, respectively send back the connectivity order form (attached as annex./3 to the legal agreement) as soon as possible.

Clients, who already signed the agreement, will receive their personalized access to the WebGUI simulation within the next two working days.

FIRDS / ESMA database

The official publication of the FIRDS database has not taken place yet by the European Securities and Markets Authority (ESMA). Therefore Wiener Börse created a workaround solution for the below mentioned sample instruments in order to be able to test the ESMA related functionalities anyhow. This includes the ESMA check respectively the automatic completion of reference data after inserting an ISIN in the GUI.

AT0000743059 - Equity
DE000A2GFZA7 - Future
DE0008469495 - Option
DE000A1DKQ99 - Certificate

In case the ESMA checkbox is disabled, all other ISIN's may be used for testing.

Please refer to the user manual published on our website for more information on these functionalities.

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Project Timeline

- 31st March 2017 Provide your Letter of Intent ✓
- June 2017 Wiener Börse provides FIX Interface specifications ✓
- 1st August Provision of WebGUI Preview ✓
- 26th September 2017 Provision of Functional TTR[®] II specification ✓
- 26th September 2017 Provision of Contract and Connectivity Order Form ✓
- 23rd of October 2017 Start of customer simulation (FIX) ✓
- Nov – Dec 2017 Customer simulation (WebGUI) ✓
- Nov – Dec 2017 Customer simulation (Orders, Quotes, Non-equity waiver, Reports, TOTV Check FIRDS)
- 3rd January 2018 Production system TTR[®] II “Go Live”

Updated Specifications

New versions of the TTR[®] II specifications have been uploaded on the website of Wiener Börse:

- CEESEG FIX TTR II specification, Version 1.3
- TTR[®] II User Manual, Version 1.2
- WebGUI Preview, Version 1.4
- Annex./1 to the legal agreement (“TTR[®] II Scope of Supply and Services”), Version 1.2

For all new document versions there is also a second documents with marked changes available, please refer to the website links below.

<https://www.wienerborse.at/en/technology/ttr-ii-approved-publication-arrangement-apa-service/detailed-specifications/>

<https://www.wienerborse.at/en/technology/ttr-ii-approved-publication-arrangement-apa-service/legal/>

Please do not hesitate to contact us for any further questions by either e-mail to mifid2apa@wienerborse.at or by phone +43-1-53165 (extensions 163 or 170).

Kind regards,
WBAG TTR[®] II Team